

CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

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Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3

Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**FILED FOR RECORD
8:00 AM**

JAN 20 2006

Bevly A. Highman
County Clerk, Harris County, Texas

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Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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5 Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

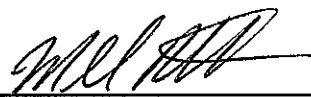
Yes No

D. Describe each affiliation or business relationship.

6 Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

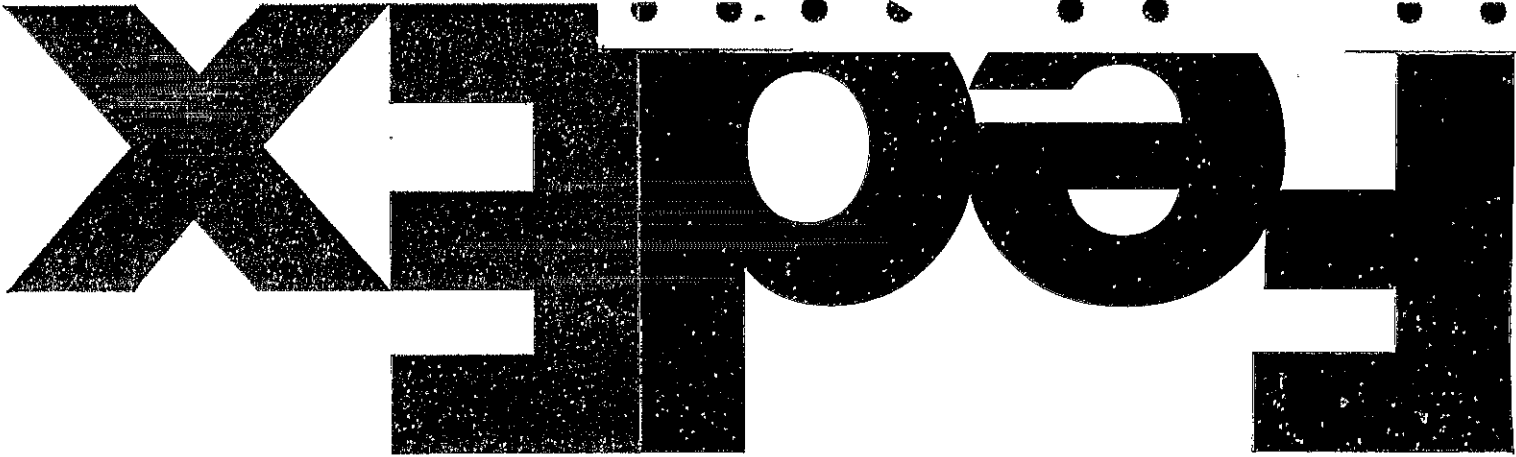
7 First Southwest Company

By: 

Signature of person doing business with the governmental entity

1/19/2006

Date



9-45-05-42-4B

Align top of FedEx Shipping Label or ASITA Label Here

FedEx **PRIORITY OVERNIGHT** **FRI**
 emp# 389862 19JAN06
 TRK# 8452 8291 1266 **FORM 0215**
 77002 -TX-US **IAH 43 EIXA**
 Deliver By: 20JAN06 A1



FedEx **USA Airbill**
 Express
 Tracking Number 8452 8291 1266

1 From This portion can be removed for recipient's records.

Date _____ FedEx Tracking Number 8452 8291 1266

Sender's Name _____ Phone _____

Company **FIRST SOUTHWEST COMPANY**

Address **1021 MAIN ST STE 2200**

City **HOUSTON** State **TX** zip **77002-6605**

2 Your Internal Billing Reference

To Recipient's Name _____ Phone _____

Company _____

Address _____ We cannot deliver to P.O. boxes or P.O. stop orders.

Address _____

City _____ State _____ ZIP _____

NO POUCH NEEDED. See back for peel and stick application instructions.

4a Express Package Service

Delivery commitment may vary in some areas.
 FedEx Priority Overnight
 Next business morning
 FedEx Standard Overnight
 Next business afternoon
 FedEx First Overnight
 Next business morning delivery by 10:00 a.m. local time

FedEx 2Day
 Next business day
 FedEx Express Saver
 Next business day
 FedEx Envelopes are not available. Minimum charge: \$10.00 per day.

4b Express Freight Service

Delivery commitment may vary in some areas.
 FedEx 1Day Freight*
 Next business day
 FedEx 2Day Freight
 Second business day
 FedEx 3Day Freight
 Third business day

5 Packaging

FedEx Envelope*
 FedEx Pak*
 Locks for FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak
 Other

6 Special Handling

SATURDAY Delivery
 Saturday delivery available only at FedEx locations. FedEx 2Day, FedEx 1Day Freight, and FedEx 3Day Freight are not available.
 HOLD Weekday at FedEx Location
 HOLD Saturday at FedEx Location
 FedEx Priority Overnight and FedEx 2Day are not available.
 Does this shipment contain dangerous goods?
 No Yes (see instructions)
 Yes (see instructions) Shipper's Declaration not required

7 Payment Bill to:

Sender Recipient Third Party Credit Card
 Cash/Check
 Open Invoice
 Account No. _____
 Cargo Aircraft Only
 By _____
 Date _____

8 Release Signature

Your liability is limited to \$100 unless you indicate a higher value. See the FedEx Services Guide for details.
 Signature: _____
 Title: _____
 Total Packages _____ Total Weight _____
 Total Charges _____
 Check Card No. _____



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By signing this document you agree to the terms and conditions of the shipping agreement.
 Questions? Visit our Web site at fedex.com
 or call 1.800.Go.FedEx® 800.463.3339.
 SP-Inv. Use 5/01-1/01/01-0159-000 FED-PRINTED IN U.S.A.

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