

**CONFLICT OF INTEREST QUESTIONNAIRE**

**FORM CIQ**

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

**OFFICE USE ONLY**

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

2  Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3 Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**FILED FOR RECORD  
8:00 AM**

**JAN 20 2006**

*Bevly B. Highman*  
County Clerk, Harris County, Texas

4 Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**CONFLICT OF INTEREST QUESTIONNAIRE**

**FORM CIQ**

For vendor or other person doing business with local governmental entity

Page 2

**5** Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes  No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes  No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

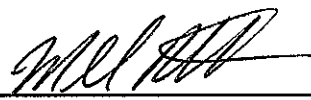
Yes  No

D. Describe each affiliation or business relationship.

**6** Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

**7** First Southwest Company

By: 

Signature of person doing business with the governmental entity

1/19/2006

Date

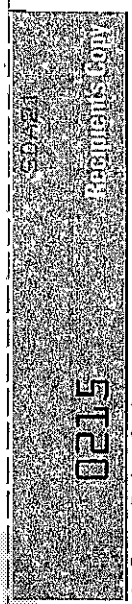
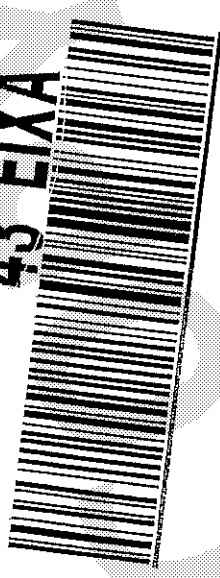
9-45-06-4247

COPY

# FEDEX

Align top of FedEx Shipping Label or ASITA Label Here

**FedEx** **PRIORITY OVERNIGHT** **FRI**  
 emp# 389862 19JAN06  
 TRK# 8452 8291 1266 **FORM 0215**  
 77002 -TX-US **IAH 43 EIXA**  
 Deliver By: 20JAN06 A1



**FedEx USA Airbill Express**  
 Tracking Number: 8452 8291 1266

1 From This portion can be removed for Recipient's records.  
 Date: \_\_\_\_\_ FedEx Tracking Number: 845282911266

Sender's Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Company: **FIRST SOUTHWEST COMPANY**

Address: **1021 MAIN ST STE 2200**  
 City: **HOUSTON** State: **TX** zip: **77002-6605**

2 Your Internal Billing Reference  
 3 To Recipient's Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Company: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Address: \_\_\_\_\_  
 To ZIP\* (with business, print FedEx address)  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

4a Express Package Service  
 FedEx Priority Overnight  
 FedEx Standard Overnight  
 FedEx First Overnight

4b Express Freight Service  
 FedEx 2Day  
 FedEx Express Saver  
 FedEx 1Day Freight\*  
 FedEx 2Day Freight  
 FedEx 3Day Freight

5 Packaging  
 FedEx Envelope\*  
 FedEx Pak\*  
 Other

6 Special Handling  
 SATURDAY Delivery  
 HOLD Weekday  
 HOLD Saturday  
 HOLD Location

7 Payment Bill to:  
 Sender  
 Recipient  
 Third Party  
 Credit Card  
 Cash/Check

8 Release Signature  
 Total Packages: \_\_\_\_\_  
 Total Weight: \_\_\_\_\_  
 Total Charges: \_\_\_\_\_



447

0275505602

NO POUCH NEEDED. See back for peel and stick application instructions.

RECIPIENT: PEEL HERE

By signing this document you agree to the terms and conditions of the shipping agreement. For more information, visit our website at [fedex.com](http://fedex.com) or call 1.800.Go.FedEx. ©1999-2000 FedEx/PHNED WUSA. SF-100 (Rev. 04/99)