

CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

2 Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3 Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

FILED FOR RECORD
8:00 AM

JAN 20 2006

Bevly B. Huffman
County Clerk, Harris County, Texas

4 Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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5 Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes No

D. Describe each affiliation or business relationship.

6 Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

7 First Southwest Company

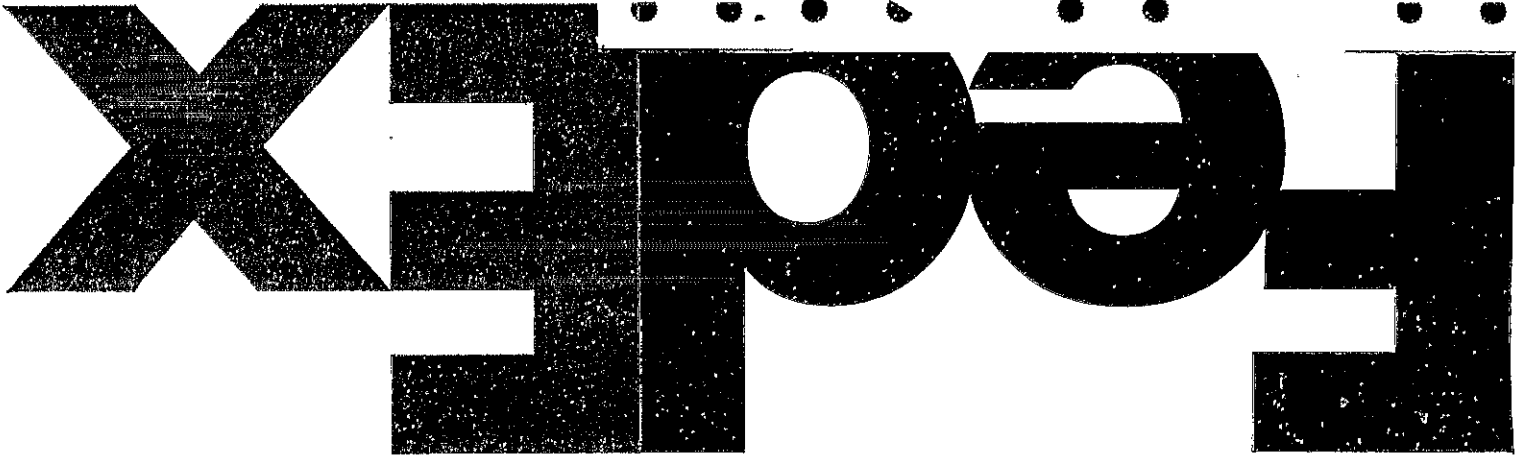
By: 

Signature of person doing business with the governmental entity

1/19/2006

Date

9-45-06-4247



9-45-05-42-4B

Align top of FedEx Shipping Label or ASITA Label Here

FedEx **PRIORITY OVERNIGHT** **FRI**
 emp# 389862 19JAN06
 TRK# 8452 8291 1266 **FORM 0215**
 77002 -TX-US **IAH 43 EIXA**
 Deliver By: 20JAN06 A1



FedEx **USA Airbill**
 Express

Tracking Number **8452 8291 1266**

From This portion can be removed for recipient's records.
 Date _____ FedEx Tracking Number **845282911266**

Sender's Name _____ Phone _____
 Company **FIRST SOUTHWEST COMPANY**
 Address **1021 MAIN ST STE 2200**
 City **HOUSTON** State **TX** zip **77002-6605**

2 Your Internal Billing Reference

To Recipient's Name _____ Phone _____
 Company _____
 Address _____
 City _____ State _____ ZIP _____

3 To Recipient's Name _____
 Company _____
 Address _____
 City _____ State _____ ZIP _____

4 Express Package Service
 Delivery commitment may be later in some areas.
 FedEx Priority Overnight Next business morning
 FedEx Standard Overnight Next business afternoon
 FedEx 2Day Next business day
 FedEx 2Day Freight Next business day
 FedEx 1Day Freight* Next business day
 FedEx 3Day Freight Third business day
 * Packages over 150 lbs. Delivery commitment may be later in some areas.

5 Packaging
 FedEx Pak* Locks for FedEx Small Pak, FedEx Large Pak, and FedEx Surety Pak
 FedEx Envelope*
 FedEx Express Saver
 FedEx Envelopes are not available. Minimum charge: 100-pound rate.
 FedEx 2Day
 FedEx 2Day Freight
 FedEx 1Day Freight
 FedEx 3Day Freight
 * Packages over 150 lbs. Delivery commitment may be later in some areas.

6 Special Handling
 SATURDAY Delivery at FedEx Location
 HOLIDAY Delivery at FedEx Location
 HOLD Weekday at FedEx Location
 HOLD Saturday at FedEx Location
 Includes FedEx address in Section 2.
 Does this shipment contain dangerous goods?
 No Yes (see instructions)
 Includes FedEx address in Section 2.
 Includes FedEx address in Section 2.
 Includes FedEx address in Section 2.
 Includes FedEx address in Section 2.

7 Payment Bill to:
 Sender Recipient Third Party Credit Card
 Cash/Check
 Open Invoice
 Account No. _____
 Cargo Aircraft Only
 BY/CG By _____
 Includes FedEx address in Section 2.
 Includes FedEx address in Section 2.
 Includes FedEx address in Section 2.

8 Release Signature
 Total Packages _____ Total Weight _____
 Total Charges _____
 Check Card/Inv. _____
 Your liability is limited to \$100 unless you indicate a higher value. See the FedEx Services Guide for details.
 Sign to authorize delivery without obtaining signature.
 By signing you authorize us to deliver the shipment without obtaining a signature.
 Questions? Visit our Web site at fedex.com or call 1.800.Go.FedEx® 800.463.3339.
 SP-Inv. (Rev. 8/01) ©1999-2000 FedEx/PHNED WUSA.



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