

CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

2 Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3 Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**FILED FOR RECORD
8:00 AM**

JAN 20 2006

Beverly A. Highman
County Clerk, Harris County, Texas

4 Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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5 Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

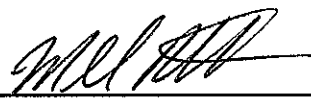
Yes No

D. Describe each affiliation or business relationship.

6 Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

7 First Southwest Company

By: 

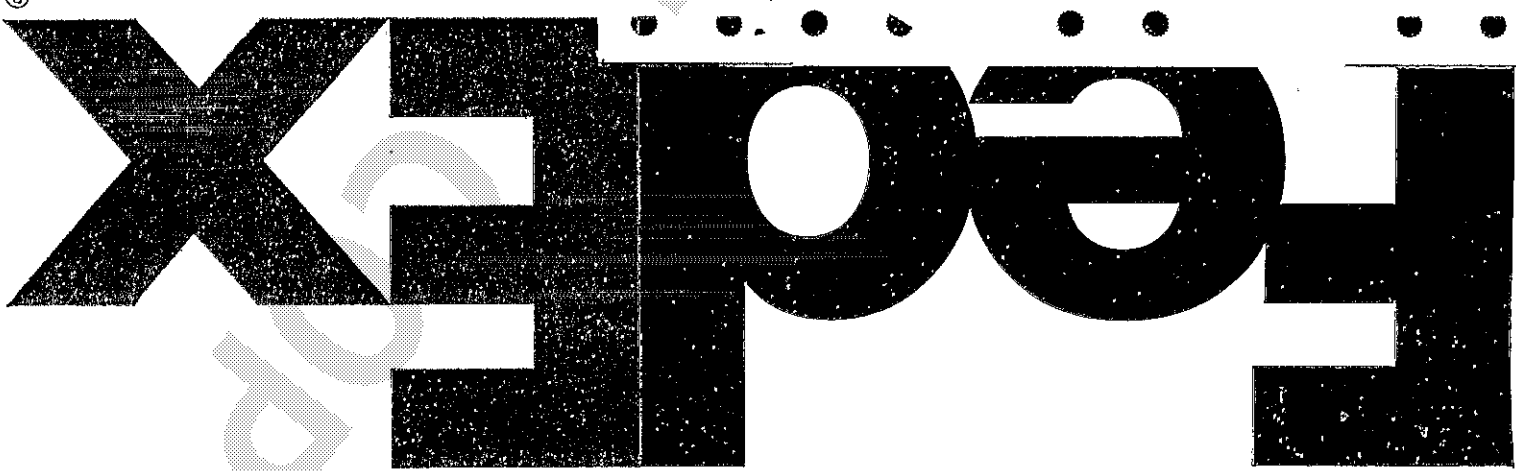
Signature of person doing business with the governmental entity

1/19/2006

Date

9-45-06-4247

COPY



Align top of FedEx Shipping Label or ASITA Label Here

FedEx. PRIORITY OVERNIGHT FRI
emp# 389862 19JAN06
TRK# 8452 8291 1266 FORM 0215
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Deliver By: 20JAN06 A1



FedEx. USA Airbill Express

Tracking Number 8452 8291 1266
Sender's Name FIRST SOUTHWEST COMPANY
Address 1021 MAIN ST STE 200
City HOUSTON State TX Zip 77002-6605

4a Express Package Service
Delivery commitment may vary in some areas.
FedEx Priority Overnight
Next business morning
FedEx Standard Overnight
Next business afternoon
FedEx First Overnight
Next business morning delivery by next business day

4b Express Freight Service
Delivery commitment may vary in some areas.
FedEx 1Day Freight*
Next business day
FedEx 2Day Freight
Second business day
FedEx 3Day Freight
Third business day

5 Packaging
FedEx Envelope*
FedEx Pak*
Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak

6 Special Handling
SATURDAY Delivery
Available only for Priority Overnight, FedEx 2Day, FedEx 1Day Freight, and FedEx 2Day Freight. Not available for FedEx 3Day Freight.
Does this shipment contain dangerous goods?
No Yes
Include FedEx address in Section 3.
HOLD Weekday at FedEx Location
HOLD Saturday at FedEx Location
FedEx Priority Overnight and FedEx 2Day to select locations.

7 Payment Bill to:
Sender Recipient Third Party
Account No. in Section 3
Even FedEx Acct. No. or Credit Card No. below.
Open Receipt
Account No.
Cash/Check
By Fed. Ex. No. 1-800-4FED-EX
Cargo Aircraft Only

8 Release Signature
Sign to authorize delivery without obtaining signature.

Total Packages
Total Weight
Total Charges
Check Card/Inv.

Your package is limited to 70.5 lbs unless you indicate a higher value. See the FedEx Services Guide for details.

By signing this document you agree to deliver the shipment without obtaining a signature.
Questions? Visit our Web site at fedex.com or call 1.800.GO.FEDEX. 800.463.3338.
SF - Inv. Date 8/01/01 ©1999-2001 FEDERATED WILSON.

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NO POUCH NEEDED. See back for peel and stick application instructions.

RECIPIENT: PEEL HERE



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